

SEP 09 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rosalind Herman et al. Examiner: Rachel L. Porter

Serial No: 09/873,864 Art Unit 3626

Filing Date: June 4, 2001

Title: FOUNDATION FUNDS GENERATION SYSTEM AND METHOD

Attorney Docket No.: 60697-015 (NFRK-001)

CERTIFICATE OF FACSIMILE TRANSMISSION 37 CFR § 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office (Fax No.: (703) 872-9306 on the date shown below.

Date: Sept. 9, 2004Elsie Saraglow
Elsie Saraglow

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

TRANSMITTAL LETTER

Enclosed herewith for filing in connection with the above-identified patent application are the following:

- 1) Response to Office Action;
- 2) Request For Continued Examination (RCE) Transmittal; and
- 3) Acknowledgment Postcard.

The Commissioner is hereby authorized to charge the requisite Request For Continued Examination (RCE) fee of \$385.00 and any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1133. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

David M. Mello
David M. Mello, Reg. No. 43,779
McDermott Will & Emery LLP
28 State Street
Boston, MA 02109
Tel.: 617.535.4037
Fax: 617.535.3800

Date: September 9, 2004

McDermott
Will & Emery

RECEIVED
CENTRAL FAX CENTER

SEP 09 2004

Boston Brussels Chicago Düsseldorf London Los Angeles Miami Milan
Munich New York Orange County Rome San Diego Silicon Valley Washington, D.C.

FACSIMILE

Date: Sept. 9, 2004

Time Sent: 3:40 pm

To:	Company:	Facsimile No:	Telephone No:
Rachel L. Porter	USPTO	703 872-9306	
From: David M. Mello		Direct Phone: 617 535-4037	
E-Mail: dmello@mwe.com			
Sent By: Elsie Salaglow		Direct Phone: 617 535-3959	
Client/Matter/Tkpr: 60691-015		Original to Follow by Mail: □	
(5499)		Number of Pages, Including Cover: 17	

Message:

The information contained in this facsimile message is legally privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, or copy of this facsimile is strictly prohibited. If you have received this facsimile in error, please notify us immediately by telephone and return the original message to us at the below address by mail. Thank you.

IF YOU DO NOT RECEIVE ALL OF THE PAGES, PLEASE CALL AS SOON AS POSSIBLE.

Main Facsimile: 617.535.3800 Facsimile Operator: 617.535.4000

U.S. practice conducted through McDermott Will & Emery LLP.
28 State Street Boston, Massachusetts 02109-1775 Telephone: 617.535.4000

McDermott
Will&EmeryRECEIVED
CENTRAL FAX CENTER

SEP 09 2004

FACSIMILE

Date: Sept. 9, 2004

Time Sent: 4:10
8:00 AM

To: Company: Facsimile No: Telephone No:

Rachel L. Porter USPTO

703 872-9306

From: David M. Mello

Direct Phone: 617 535-4037

E-Mail: dmello@mwe.com

Sent By: Elsie Saraglow

Direct Phone: 617 535-3959

Client/Matter/Trkr: 60699-015
(5499)

Original to Follow by Mail: 0

Number of Pages, Including Cover: 17

Message:

This is a re-fax. The original fax did not include a duplicate copy of transmittal letter for charging deposit account.

This mistake was made inadvertently; please excuse any confusion.

Regards,

Elsie Saraglow

Assistant to David Mello.

The information contained in this facsimile message is legally privileged and confidential information intended only for the use of the individual or entity named above. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution, or copy of this facsimile is strictly prohibited. If you have received this facsimile in error, please notify us immediately by telephone and return the original message to us at the below address by mail. Thank you.

IF YOU DO NOT RECEIVE ALL OF THE PAGES, PLEASE CALL AS SOON AS POSSIBLE.

Main Facsimile: 617.535.3800 Facsimile Operator: 617.535.4000

U.S. practice conducted through McDermott Will & Emery LLP.
28 State Street Boston, Massachusetts 02109-1775 Telephone: 617.535.4000